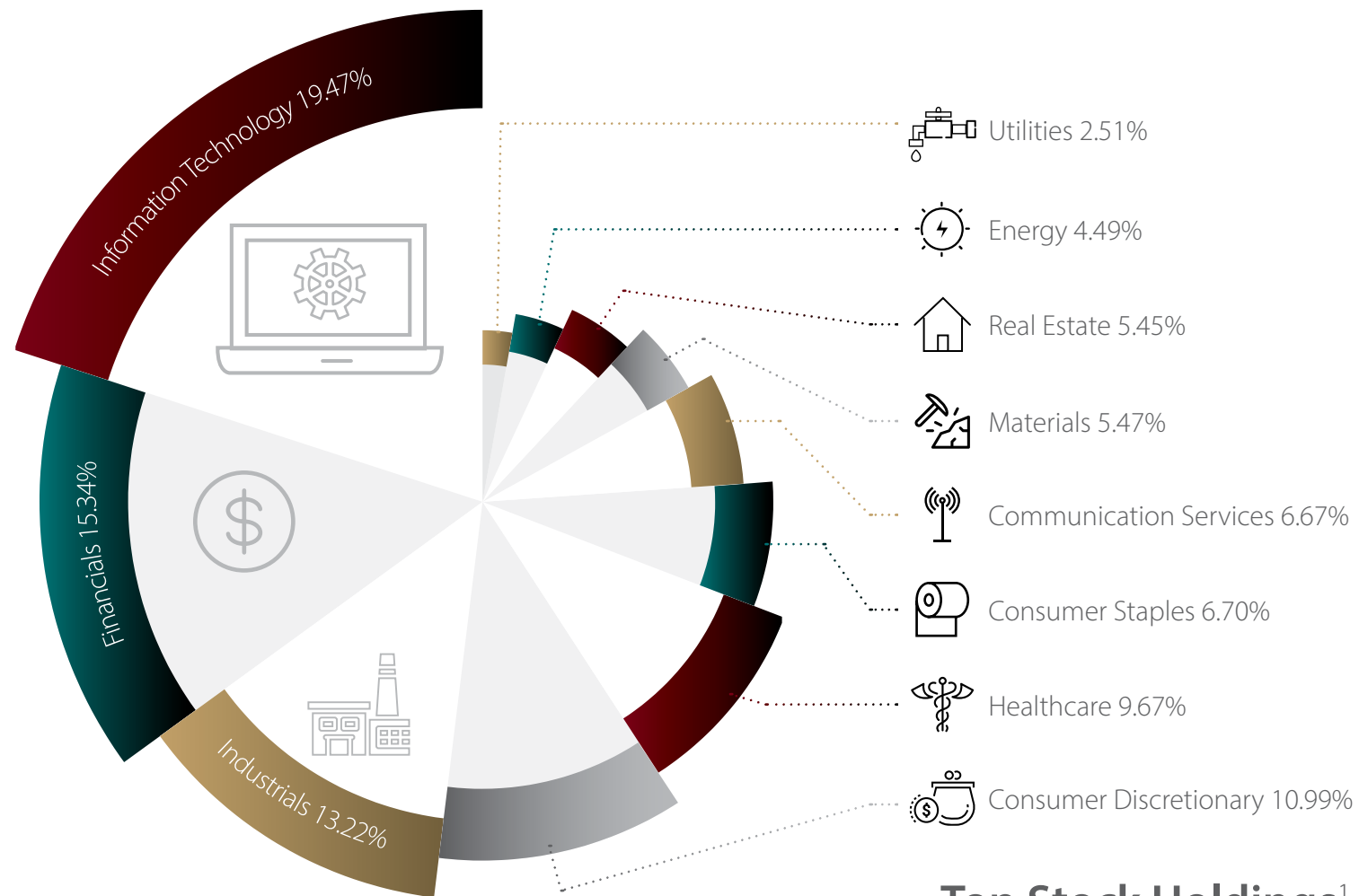


# HELIX Series

As of **September 30, 2023**

The HELIX Series is a hybrid solution. The strategy's growth and risk objectives vary depending on the targeted allocation to equities and fixed income investments. Tactical ETF exposure forms the foundation of the HELIX Series, complimented by 8-15 broadly diversified exchange traded funds (ETFs) with target allocations determined by the risk tolerance of the individual investor. This strategy combine strategic asset allocation with tactical risk management to create a holistic client solution within an after-tax account (i.e. Individual, Joint, Trust, etc.).

## Equity Sector Weighting<sup>1</sup>

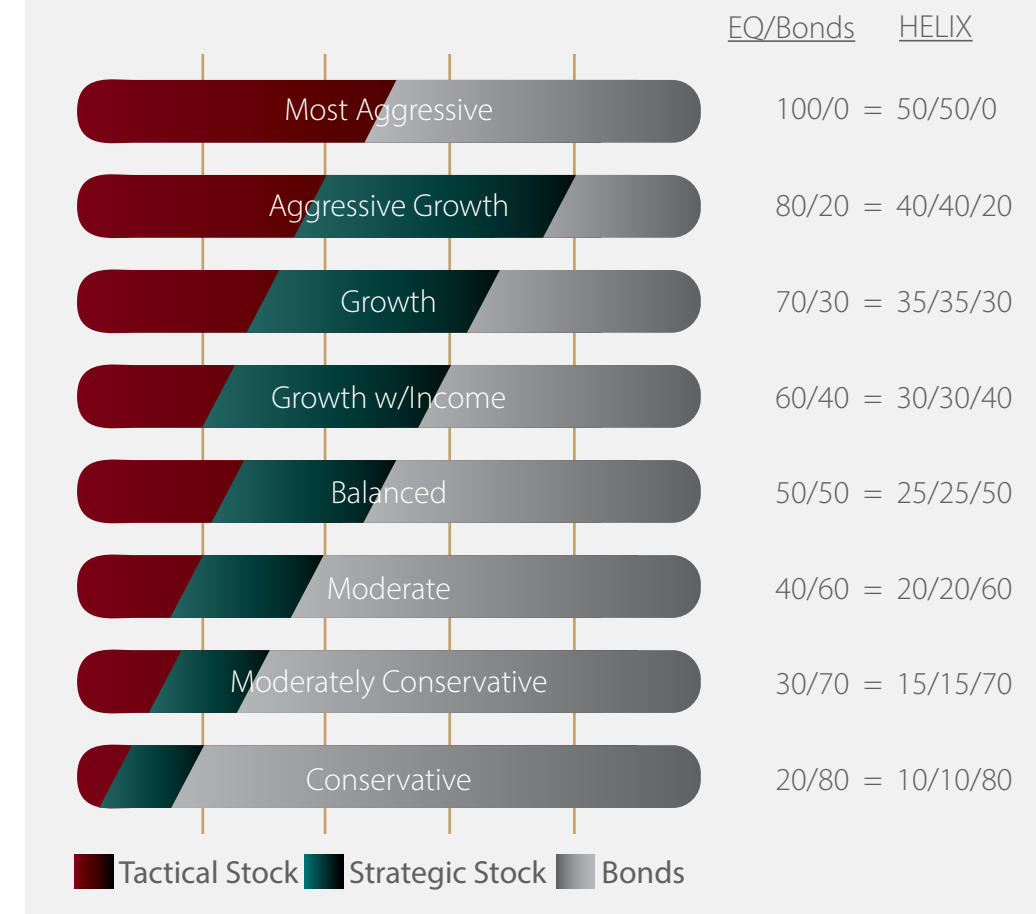


## Top Stock Holdings<sup>1</sup>

Helix 100 Portfolio Holdings	Ticker	Portfolio Weighting
Corbett Road Opportunity ETF	OPPX	50.37%
iShares S&P 500 Value ETF	IVE	10.23%
iShares Core S&P Mid-Cap ETF	IJH	9.93%
iShares Core MSCI Emerging Markets ETF	IEMG	9.80%
iShares MSCI ACWI ex US ETF	ACWX	7.00%
iShares Core MSCI EAFE ETF	IEFA	6.08%
iShares Core S&P Small-Cap ETF	IJR	4.80%
Vanguard Real Estate ETF	VNQ	1.80%

1. Equity Sector Weightings and Top Stock Holdings are as of this report and are subject to change without notice.

## Allocations



## Disclosure Information

Please see important information at the end of this presentation.

Corbett Road Capital Management, LLC (CRCM) receives compensation for sub advisory services it provides to one or more Exchange Traded Funds ("ETF"). CRCM will in some cases, in its sole judgment, use one or more ETFs which it manages as part of or for the entirety of client portfolios in the Helix strategies. CRCM's Helix strategy invests in the CRCM Opportunity Exchange Traded Fund (ETF)(Symbol: OPPX). CRCM is the sub-advisor of OPPX. This fact creates an incentive for CRCM to recommend this strategy to its clients. While this conflict could arise if CRCM collected both SMA management fees and ETF management fees, CRCM is waiving the SMA management fee on the portion of assets allocated to the Helix Strategy. This approach helps mitigate the conflict of interest outlined by removing the layering of fees and therefore the incentive of CRCM to offer this strategy above other strategies. The highest additional fee an investor should expect to pay would be 46bps (46/100 of 1%) when 50% of the portfolio is invested in OPPX.



**CORBETT ROAD**  
CAPITAL MANAGEMENT

# Disclosure Information Continued

## General Disclosure

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Investors should consider the investment objectives, risks, charges, and expenses of each strategy before making an investment decision. This and other information about the strategies presented, including additional risks, are contained in Corbett Road’s Form ADV Part 2 available at <https://adviserinfo.sec.gov/firm/summary/305063>, which you should read carefully before you invest. Additional information pertaining to ETFs used for investment purposes can be found in the prospectus for each ETF.

Gross performance is defined as the performance results of a portfolio before the deduction of all fees and expenses. Net performance is defined as the performance results of a portfolio after the deduction of all fees and expenses that a client or investor has paid or would have paid in connection with the adviser’s services to the relevant portfolio, including, if applicable, advisory fees, advisory fees paid to underlying investment vehicles such as MFs and ETFs, and payments by the investment adviser for which the client or investor reimburses the investment adviser. Custodian fees paid to a bank or other third-party organization for safekeeping funds and securities are excluded from the calculation of net performance. Advisory fees charged to Corbett Road clients, are described in Corbett Road’s Form ADV Part 2 and Part 3 available at <https://adviserinfo.sec.gov/firm/summary/305063>. Non-fee-paying accounts reflect the deduction of the highest possible fees for each strategy to calculate net performance.

In addition to fees paid to Corbett Road, entities advising mutual funds, exchange traded securities, and pooled investment vehicles, will also charge underlying fees and expenses for managing the investment product.

Investors cannot invest in a market index directly, and the performance of an index does not represent any actual transactions. The performance of an index is not an actual client portfolio which is subject to the deduction of various fees and expenses which would lower returns.

## Use of Indicators

Corbett Road’s quantitative models utilize a variety of factors to analyze trends in economic conditions and the stock market to determine asset and sector allocations that help us gauge market movements in the short- and intermediate term. There is no guarantee that these models or any of the factors used by these models will result in favorable performance returns. Models used by Corbett Road are reviewed periodically. Inputs, factors, and indicators used in these models are subject to change.

## crfusion™

All information is based on sources deemed reliable, but no warranty or guarantee is made as to its accuracy or completeness. **crfusion™** is a proprietary solution used by Corbett Road Capital Management to help customize client asset allocation parameters into an approach that combines tactical, active, and passive investment strategies. The approach allows clients to participate in positive market returns while providing downside protection for times when the market is facing macro headwinds. Neither the information provided by **crfusion™** nor any opinion expressed herein constitutes an offer to sell or solicitation to buy any securities nor a recommendation to engage in any transaction or strategy. This represents our opinion as of the date of publication and should not be relied upon as financial advice. The phrase “the market” refers to the S&P 500 Total Return Index unless otherwise stated. The phrase “risk assets” refers to equities, REITs, high yield bonds, and other high volatility securities. Past performance is no guarantee of future results.

## Cash Position

We continue to treat cash as an asset class. As such, unless determined to the contrary by CRCM, all cash positions (money markets, etc.) shall continue to be included as part of assets under management for purposes of calculating our advisory fee. This includes our TX (tactical portfolio strategies) that could experience a substantial shift in cash for short or intermediate time periods. At any specific point in time, depending on perceived or anticipated market conditions and events, we may maintain cash positions for defensive purposes. All portfolios are actively managed and monitored during these high cash allocation periods and may shift back to increased equity and/or fixed income allocations at any time. There is no guarantee that such anticipated market conditions and events will occur. In addition, while assets are maintained in cash, such amounts miss the opportunity for market advances. Depending on current yields, at any point and time, our advisory fee could exceed the interest paid by the client’s money market fund.

## HELIX Strategy

CRCM receives compensation for sub advisory services it provides to one or more Exchange Traded Funds (“ETF”). CRCM will in some cases, in its sole judgment, use one or more ETFs which it manages as part of or for the entirety of client portfolios in the Helix strategies. CRCM’s Helix strategy invests in the CRCM Opportunity Exchange Traded Fund (ETF)(Symbol: OPPX). CRCM is the sub-adviser of OPPX. This fact creates an incentive for CRCM to recommend this strategy to its clients. While this conflict could arise if CRCM collected both SMA management fees and ETF management fees, CRCM is waiving the SMA management fee on the portion of assets allocated to the Helix Strategy. This approach helps mitigate the conflict of interest outlined by removing the layering of fees and therefore the incentive of CRCM to offer this strategy above other strategies. The highest additional fee an investor should expect to pay would be 46bps (46/100 of 1%) when 50% of the portfolio is invested in OPPX.

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Past performance may not be indicative of future results. While the sources of information, including any forward-looking statements and estimates included in this (these) chart(s)/graph(s) was deemed reliable, we do not guarantee its accuracy.

Corbett Road Investment Management (“CRIM”) is a Subchapter S Corporation. CRIM claims compliance with the Global Investment Performance Standards (GIPS®).

CRIM together with Spire Investment Partners LLC, form Corbett Road Investment Partners, LLC. Corbett Road Investment Partners, LLC is the entity that owns Corbett Road Capital Management, LLC (CRCM), a registered investment adviser.

Corbett Road Wealth Management, LLC (CRWM) is a dba name for the group of financial advisors who are registered with Spire Wealth Management, LLC, a subsidiary of Spire Investment Partners LLC.

Together, CRCM and CRWM manage a variety of investment strategies that are offered to different groups of clients. CRCM offers investment advisory and sub-advisory services to institutionally oriented accounts, which include accounts with financial advisory firms as well as clients who open an account with \$20 million or more in assets with the Firm, while CRWM offers advisory services to directly to individual High Net Worth and retail investors.

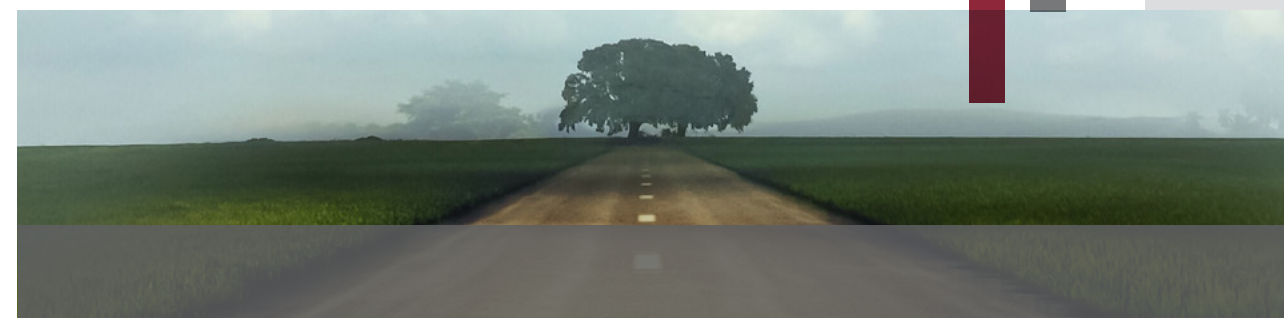
CRIM oversees the accounts of CRWM and CRCM that have signed an investment management agreement.



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## Contact Us

Contact us to discuss how Corbett Road can help you achieve your goals.



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